



Security Officer Reports to the Board: Fulfilling Your Annual Requirement

Tuesday, SEPTEMBER 24, 2013

2:00 pm – 3:30 pm Central

The implementing regulations of the Bank Protection Act require the security officer to report annually to the board. One often-asked question is, "Should the security officer report to the board in person?" The regulation is silent on that issue. This thought-provoking webinar will discuss the fact that meeting regulatory requirements isn't the only concern. The report should be viewed as something that is discoverable in court proceedings if the bank is sued for a security issue.

Many bankers are satisfied if the regulators do not take issue with the board report or the bank's security program. However, don't wait for a lawsuit against the security officer, management, and the board (both jointly and individually) to learn that your bank's report was missing key items. The information that could protect the bank during litigation is very different than what regulators examine to ensure compliance. Attendees will learn how to prepare the written report and how to present it. Although most people fear reporting to the board in person, this webinar is designed to help you organize for a board meeting. Learn how to prepare for unknown situations that could occur during your presentation and what to do if your twenty-minute report has to be presented in five minutes. This webinar covers what should be reported to the board annually. Your security officer will garner valuable resources that can provide statistics, facts, and information to reduce bank liability.

HIGHLIGHTS

- Learn what information should be reported to the board annually
- How to present major problems to the board when you have limited time
- Why the security officer should report to the board in person
- Design your annual report to obtain regulatory approval while providing litigation protection
- How the security officer, management, and board can be held both criminally and civilly liable for security issues
- **TAKE-AWAY TOOLKIT**
 - Sample top sheet for board reporting
 - Special reports form
 - Sample annual board report
 - Employee training log
 - Quiz you can administer to measure staff learning and a separate answer key

Attendance verification for CE credits provided upon request.

WHO SHOULD ATTEND?

This informative session would best suit auditors, security officers, risk management, and senior management responsible for the security function.

ABOUT THE PRESENTER – Barry Thompson, CRCM, Thompson Consulting Group, LLC

Barry Thompson is an international speaker, trainer, consultant, and writer. He is a security and compliance "guru" for a leading national training organization and regularly presents security conferences for trade groups. Barry is recognized worldwide, presenting in Brussels, Belgium to European bankers on internal fraud; at the United Nations on identity theft for the United Nations Credit Union; and to Japanese bankers on bank security. Barry has worked in the financial services industry for over three decades, and has held the positions of security officer, compliance officer, treasurer, senior vice president, and executive vice president. He has handled over 900 security cases and has been involved with investigations and prosecutions at the federal, state, and local levels.

THREE REGISTRATION OPTIONS

1. LIVE WEBINAR

The **live webinar** option allows you to have **one telephone connection for the audio portion and one Internet connection (from a single computer terminal)** to view online visuals as the presentation is delivered. You may have as many people as you like listen from your office speaker phone. Registrants receive a toll-free number and pass code that will allow entrance to the seminar. The session will be approximately 90 minutes, including question and answer sessions. Seminar materials, including instructions, pin number, and handouts will be emailed to you prior to the broadcast. You will need the most current version of Adobe Reader available free at www.adobe.com.

2. ON-DEMAND WEB LINK & FREE CD ROM*

Can't attend the live webinar? The on-demand web link is a recording of the live event, including audio, visuals, and handouts. We even provide the presenter's email address so you may ask follow-up questions. Approximately one week prior to the webinar, you will receive an email with the web link. This web link can be viewed anytime 24/7, beginning 6 business days **after** the webinar and will expire 6 months after the live program date. **As an added bonus, you will also receive a FREE audio/visual CD ROM.*** The CD ROM includes the original audio/visual presentation, the question and answer sessions, and the handouts. Use the on-demand link or this "off-the-shelf" training program for those that could not attend the live seminar and for future training.

LIMITED AVAILABILITY: The on-demand web link and CD ROM may ONLY be ordered for 6 months following the webinar. Neither the link nor CD will be available after this time.

3. BOTH LIVE WEBINAR & ON-DEMAND WEB LINK (INCLUDES FREE CD-ROM*)

Options 1 and 2 described above

*CD ROM for PC use only

Convenient! Listen on your iPad, iPhone, Android - Instructions will be emailed to you with the on-demand link .

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TO REGISTER

- By Mail: Community Bankers Financial Education, 455 S. Junction Road, Suite 101, Madison, WI 53719
- By Fax: 608 / 833-8114 QUESTIONS - call 608/ 833-2384
- On Line: [Click Here](#) Your Log-In ID Number is the same as your bank's FDIC Cert. Number.

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