BSA Compliance Series:
New BSA Officer Training

CBFE
Community Bankers Financial Education

Thursday, FEBRUARY 5, 2015

12:00 pm – 1:30 pm Pacific
1:00 pm – 2:30 pm Mountain
2:00 pm – 3:30 pm Central
3:00 pm – 4:30 pm Eastern

This webinar will discuss two specific items. The first is an overview of BSA regulations and requirements. New BSA officers will quickly learn what areas will require further research and what areas are well in hand. The second portion of the program will discuss day-to-day issues, operational concerns, and other items that a new BSA officer needs to know. Like any compliance-related activity, being a BSA officer requires technical knowledge, the ability to navigate and negotiate among the various entities within the organization, and the know-how to deal with auditors and regulators who are constantly looking over your shoulder.

HIGHLIGHTS

- Risk assessment overview
- The requirements for a CTR and an SAR
- 314(a) and 314(b)
- Customer due diligence and enhanced due diligence, including the current proposal
- The real requirements of a BSA review (not “What is the minimum we can do?”)
- Navigating the BSA players within the institution

- **TAKE-AWAY TOOLKIT**
  - The manual will provide additional background information far beyond the limits of what will be covered during the webinar and will provide the new BSA officer a roadmap to navigate the requirements
  - Employee training log
  - Quiz you can administer to measure staff learning and a separate answer key
  - Attendance verification for CE credits provided upon request.

WHO SHOULD ATTEND?
This informative session is designed for new BSA officers, although more experienced BSA officers will find the presentation and manual useful. Staff responsible for the AML/BSA/OFAC function will also benefit from this presentation.

ABOUT THE PRESENTER – Bill Elliott, CRCM, Young & Associates, Inc.
Bill Elliott has over 35 years of banking experience. As a senior compliance consultant and manager of the compliance division with Young & Associates, Inc., Bill works on a variety of compliance-related issues, including leading compliance seminars, conducting compliance reviews, conducting in-house training, and writing compliance articles and training materials.

Bill’s career includes 15 years as a compliance officer and CRA officer in a large community bank, as well as working at a large regional bank. He has experience with consumer, commercial, and mortgage loans, and has managed a variety of bank departments, including loan review, consumer/commercial loan processing, mortgage loan processing, loan administration, credit administration, collections, and commercial loan workout.

THREE REGISTRATION OPTIONS

1. LIVE WEBINAR

Price $230

The **live webinar** option allows you to have **one telephone connection for the audio portion and one Internet connection (from a single computer terminal)** to view online visuals as the presentation is delivered. You may have as many people as you like listen from your office speaker phone. Registrants receive a toll-free number and pass code that will allow entrance to the seminar. The session will be approximately 90 minutes, including question and answer sessions. Seminar materials, including instructions, PIN number, and handouts will be emailed to you prior to the broadcast. You will need the most-current version of Adobe Reader available free at www.adobe.com.

☐ Check - This Option

2. ON-DEMAND WEB LINK & FREE CD ROM*

Price $230

Can't attend the live webinar? The archived webinar is a recording of the live event, including audio, visuals, and handouts. We even provide the presenter's email address so you may ask follow-up questions. Approximately one week prior to the webinar, you will receive an email with the archived webinar link. This webinar link can be viewed anytime 24/7, beginning 6 business days **after** the webinar and will expire 6 months after the live program date.

As an added bonus, you will also receive a FREE audio/visual CD ROM.* The CD ROM includes the original audio/visual presentation, the question and answer sessions, and the handouts. Use the archived webinar or this "off-the-shelf" training program for those that could not attend the live seminar and for future training.

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3. BOTH LIVE WEBINAR & ON-DEMAND WEB LINK (INCLUDES FREE CD-ROM*)

Price $350

Options 1 and 2

The archived webinar (including the free CD ROM) may ONLY be ordered for 6 months following the webinar. Neither the link nor CD will be available after this time.

***CD ROM for Mac and PC use only**

Convenient! Listen on your iPad, iPhone, Android - Instructions will be emailed to you with the on-demand link .

Note: All materials are subject to copyright. Transmission, retransmission, or republishing this webinar to other institutions or those not employed by your financial institution is prohibited. Print materials may be copied for eligible participants only.

☐ Check - This Option

TO REGISTER

- ***By Mail: Community Bankers Financial Education, 455 S. Junction Road, Suite 101, Madison, WI 53719***
- By Fax: 608 / 833-8114 QUESTIONS - call 608/ 833-2384
- **On Line: Click Here Your Log-In ID Number is the same as your bank's FDIC Cert. Number.**

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Please make check payable to "Community Bankers Financial Education". Amount $ ____________________________

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